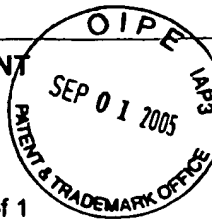


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|                                                                               |    |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
|-------------------------------------------------------------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|---------------------------|--------------------------|----------|-------------------------------|----|
| Form PTO-1449<br>LIST OF PRIOR ART<br>CITED BY APPLICANT                      |    | Docket No.:<br>P69594US0                                                                                                                                                                                                                                                            |                 | Serial No.:<br>10/802,762 |                          |          |                               |    |
|                                                                               |    | Applicant(s): Dong Soo Lee et al.                                                                                                                                                                                                                                                   |                 |                           |                          |          |                               |    |
|                                                                               |    | Filing Date: 03/18/2004                                                                                                                                                                                                                                                             |                 | Group: 2633               |                          |          |                               |    |
| <b>U.S. PATENT DOCUMENTS</b>                                                  |    |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
| Exam.<br>Init.                                                                |    | Document<br>Number                                                                                                                                                                                                                                                                  | Date<br>(M/D/Y) | NAME                      | Class                    | Subclass | Filing Date<br>if appropriate |    |
| LN                                                                            | AA | 2003/0053179                                                                                                                                                                                                                                                                        | 03/20/2003      | Hayee                     | H04B                     | 10/00    | 09/17/2001                    |    |
| LN                                                                            | AB | 2003/0161581                                                                                                                                                                                                                                                                        | 08/28/2003      | Nishiki et al.            | G02B                     | 6/34     | 02/05/2003                    |    |
|                                                                               | AC |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
| <b>FOREIGN PATENT DOCUMENTS</b>                                               |    |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
|                                                                               |    | Document<br>Number                                                                                                                                                                                                                                                                  | Date<br>(M/D/Y) | COUNTRY                   | Class                    | Subclass | TRANSLATION                   |    |
|                                                                               |    |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          | YES                           | NO |
|                                                                               | AD |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
|                                                                               | AE |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
|                                                                               | AF |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
|                                                                               | AG |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
| <b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b> |    |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
| LN                                                                            | AH | Yutaka MIYAMOTO, Kazushige YONENAGA, Akira HIRANO and Masahito TOMIZAWA,<br>"N × 40-Gbit/s DWDM Transport System Using Novel Return-to -Zero Formats with<br>Modulation Bandwidth Reduction", Journal of the IEICE TRANS. COMMUN., Vol. E85-B,<br>No. 2, February 2002, pp. 374-385 |                 |                           |                          |          |                               |    |
|                                                                               | AI |                                                                                                                                                                                                                                                                                     |                 |                           |                          |          |                               |    |
| EXAMINER <i>W. J. G. L. L.</i>                                                |    |                                                                                                                                                                                                                                                                                     |                 |                           | DATE CONSIDERED 01/10/07 |          |                               |    |

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**



Attorney Reference: 122988-05007285

Applicant: Dong Soo LEE et al.

Application Serial No. 10/802,762

Filing Date: March 18, 2004

Date: September 1, 2005

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Examiner: Not yet  
assigned

Group Art Unit: 2633

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials* |    | Document<br>Number | Date<br>MM/YYYY | Name<br>(Family Name of First Inventor) | Class | Sub<br>Class | Filing Date<br>(if appropriate) |
|-------------------------|----|--------------------|-----------------|-----------------------------------------|-------|--------------|---------------------------------|
| LIN                     | AR | 6,278,539          | 08/2001         | Ooi et al.                              | 359   | 237          | 07/07/99                        |
| LIN                     | BR | 5,543,952          | 08/1996         | Yonenaga et al.                         | 398   | 185          | 09/11/95                        |
|                         | CR |                    |                 |                                         |       |              |                                 |
|                         | DR |                    |                 |                                         |       |              |                                 |
|                         | ER |                    |                 |                                         |       |              |                                 |
|                         | FR |                    |                 |                                         |       |              |                                 |
|                         | GR |                    |                 |                                         |       |              |                                 |
|                         | HR |                    |                 |                                         |       |              |                                 |
|                         | IR |                    |                 |                                         |       |              |                                 |
|                         | JR |                    |                 |                                         |       |              |                                 |
|                         | KR |                    |                 |                                         |       |              |                                 |
|                         | LR |                    |                 |                                         |       |              |                                 |
|                         | MR |                    |                 |                                         |       |              |                                 |
|                         | NR |                    |                 |                                         |       |              |                                 |

**FOREIGN PATENT DOCUMENTS**

|  |    | Document<br>Number | Date<br>MM/YYYY | Country |  |  | Translation Readily<br>Available |    | English<br>Abstract |    |
|--|----|--------------------|-----------------|---------|--|--|----------------------------------|----|---------------------|----|
|  |    |                    |                 |         |  |  | Enclosed                         | No | Enclosed            | No |
|  | OR |                    |                 |         |  |  |                                  |    |                     |    |
|  | PR |                    |                 |         |  |  |                                  |    |                     |    |
|  | QR |                    |                 |         |  |  |                                  |    |                     |    |
|  | RR |                    |                 |         |  |  |                                  |    |                     |    |
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|  | TR |                    |                 |         |  |  |                                  |    |                     |    |
|  | UR |                    |                 |         |  |  |                                  |    |                     |    |
|  | VR |                    |                 |         |  |  |                                  |    |                     |    |

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

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|  | WR  |  |
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|  | ZR  |  |
|  | AAR |  |
|  | BBR |  |

Examiner

*[Signature]*

Date Considered:

01/10/07

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.